

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS** 

Manabu TSUMURA, et al.

SERIAL NO.

10/512,135

**FILED** 

October 22, 2004

FOR

HARDENABLE COMPOSITION, HARDENING PRODUCT,

PROCESS FOR PRODUCING THE SAME AND LIGHT EMITTING DIODE SEALED WITH THE HARDENING

**PRODUCT** 

GROUP ART UNIT:

Unassigned

EXAMINER

Unassigned

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

ATTENTION: BOX MISSING PARTS

#### RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

SIR:

In response to the Notification of Missing Requirements mailed April 5, 2005, submitted herewith is a Declaration/Power of Attorney duly signed by the inventors including a copy of the Notification of Missing Requirements.

The authorization to charge the fees associated with this filing to Deposit Account No. 11-0600 has been provided in an attached transmittal letter.

Respectfully submitted,

KENYON & KENYON

Dated: May 3, 2005

Cassandra T. Swain, Ph.D.

Reg. No. 48,361

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DC01 562534 v1

PTO/SB/17 (10-04v2) Approved for use through 07/31/2006. OMB 0651/0032 rademark Office: U.S. DEPARTMENT OF COMMERCE

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# **FEE TRANSMITTAL** for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

□ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 170.00

Complete if Known						
Application Number	10/512,135					
Filing Date	October 22, 2004					
First Named Inventor	Manabu TSUMURA, et al.					
Examiner Name	Unassigned					
Art Unit	Unassigned					
Attorney Docket No.	12218/41					

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order  ☑ Deposit Account:		3. ADDITIONAL FEES						
		Large	Entity	Small 6	Entity			
Deposit		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee	Paid	
Account 11-0600		1051	130	2051	65	Surcharge - late filing fee or oath	130	
Number		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Kenyon & Kenyon Name		1053	130	1053	130	Non-English specification		
		1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
The Director is authorized to: (check all that apply)  ☑ Charge fee(s) indicated below ☑ Credit any overpayments		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
☐ Charge any additional fee(s) or any underpayment of fee(s) ☐ Charge fee(s) indicated below, except for the filing fee			1805	1,840*	1805	1,8401	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.		<del></del>	1251	120	2251	60	Extension for reply within first month	
	FEE CALCULATION		1252	450	2252	225	Extension for reply within second month	
	LING FEE	•	1253	1,020	2253	510	Extension for reply within third month	
	Small Entity		1254	1,590	2254	795	Extension for reply within fourth month	
	ee Fee <u>Fee Description</u> ode (\$)	Fee Paid	1255	2,160	2255	1,080	Extension for reply within fifth month	
	001 395 Utility filing fee	1007 830	1401	500	2401	250	Notice of Appeal	
1002 350 2	002 175 Design filing fee		1402	500	2402	250	Filing a brief in support of an appeal	
1003 550 2	003 275 Plant filing fee		1403	1,000	2403	500	Request for oral hearing	
i	004 395 Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Provisional filling fee		1452	500	2452	250	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 0		1453	1,500	2453	750	Petition to revive - unintentional		
		1501	1,400	2501	700	Utility issue fee (or reissue)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1502	800	2502	400	Design issue fee		
Total Claims Extra Claims below Fee Paid  Independent Claims - •• = 0 X = 0		1503	1,100	2503	550	Plant issue fee		
		1460	130	1460	130	Petitions to the Commissioner		
		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)		
· L	^^		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	x	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
Large Entity Small Entity			1809	790	2809	395	Filing a submission after final rejection	
Fee Fee Code (\$)	Fee Fee Fee Description		1810	790	2040	205	(37 CFR § 1.129(a))	
1202 18	2202 9 Claims in excess	of 20	1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1201 88	2201 44 Independent dain	ns in excess of 3					CALIFFIED (31 OF N 3 1.123(D))	<del>                                     </del>
1203 300		nt claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 88	2204 44 original patent	ndent claims over	1802	900	1802	900	Request for expedited examination	
1205 18	2205 9 ** Reissue claims over original pate	in excess of 20 and	of a design application 05/06/2005 SNAJARRO 00000082 110600 10512135					
SUBTOTAL (2) (\$) 0				ed by Ba		Fee	17 130.00 DA	
**or number previously paid, if greater, For Reissues, see above				,		,	SUBTOTAL (3) (\$) 170	

SUBMITTED BY Complete (if applicable) Cassandra T. Swain, Ph.D. Name (Print/Type) Registration No. (Attorney/Agent) 48,361 Telephone 202.220.4200 Signature assa May 3, 2005

WARNING: Information on this form may become public. Credit card information should not be

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

04/26/2002



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMU United States Patent and Trademark Office Addres: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandris, Vignis 22313-1450 www.uspto.gov

I.A. FILING DATE

04/23/2003

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 12218/41 Manabu Tsumura 10/512,135 INTERNATIONAL APPLICATION NO. PCT/JP03/05142 PRIORITY DATE

John C Altmiller Kenvon & Kenvon 1500 K Street NW Suite 700 Washington, DC 20005

**CONFIRMATION NO. 2391 371 FORMALITIES LETTER** \*OC000000015645048\*

\*OC000000015645048\*

Date Mailed: 04/05/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/22/2004
- English Translation of the IA filed on 10/22/2004
- Copy of the International Search Report filed on 10/22/2004
- Copy of IPE Report filed on 10/22/2004
- Preliminary Amendments filed on 10/22/2004
- Request for Immediate Examination filed on 10/22/2004
- U.S. Basic National Fees filed on 10/22/2004
- Priority Documents filed on 10/22/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

• \$130 Late oath or declaration Surcharge.

Total additional fees required for this application is \$130 for a Large Entity:

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE





The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

#### PART 1 - ATTORNEY/APPLICANT COPY

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/512,135	PCT/JP03/05142	12218/41

FORM PCT/DO/EO/905 (371 Formalities Notice)